Annex IX

Financial Dispute Resolution Scheme

Administered by the Financial Dispute Resolution Centre

(Filed pursuant to Paragraph 19.8.3 /19.10.4 of the Terms of Reference

of Financial Dispute Resolution Scheme)

Mediation Certificate

Date Received: (For FDRC's Official Use Only)

Our Ref:

Please put a " $\sqrt{}$ "*in* \square *where appropriate.*

This is to certify that the Mediation took place between (Eligible Claimant)

and (Financial Institution)

_on

Date	From (Time)	To (Time)

has been:-

concluded with the following outcome:

Full and final settlement reached, settlement agreement duly signed.

Partial settlement reached, partial settlement agreement duly signed.

No settlement reached.

terminated:

by the Eligible Claimant.

by the Mediator, pursuant to paragraph 5(b) of the Ethics Code for FDRC Mediators.

by the Mediator, pursuant to paragraph 5(c) of the Ethics Code for FDRC Mediators.

by the Mediator, pursuant to paragraph 5(d) of the Ethics Code for FDRC Mediators.

by the Mediator, pursuant to paragraph 5(e) of the Ethics Code for FDRC Mediators.

Signature:	Date:	
Name of Mediator (in print):		

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